

Selective p38 α MAPK Deletion in Serotonergic Neurons Produces Stress Resilience in Models of Depression and Addiction

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SUMMARY

Maladaptive responses to stress adversely affect human behavior, yet the signaling mechanisms underlying stress-responsive behaviors remain poorly understood. Using a conditional gene knockout approach, the α isoform of p38 mitogen-activated protein kinase (MAPK) was selectively inactivated by AAV1-Cre-recombinase infection in specific brain regions or by promoter-driven excision of p38 α MAPK in serotonergic neurons (by *Slc6a4-Cre* or *ePet1-Cre*) or astrocytes (by *Gfap-CreERT2*). Social defeat stress produced social avoidance (a model of depression-like behaviors) and reinstatement of cocaine preference (a measure of addiction risk) in wild-type mice, but not in mice having p38 α MAPK selectively deleted in serotonin-producing neurons of the dorsal raphe nucleus. Stress-induced activation of p38 α MAPK translocated the serotonin transporter to the plasma membrane and increased the rate of transmitter uptake at serotonergic nerve terminals. These findings suggest that stress initiates a cascade of molecular and cellular events in which p38 α MAPK induces a hyposerotonergic state underlying depression-like and drug-seeking behaviors.

INTRODUCTION

Stress has significant effects on mood and can act as a motivational force for decisive action, seeking food or reward, and coping with novel environmental conditions. However, sustained stress exposure can lead to maladaptive responses including clinical depression, anxiety, and increased risk for drug addiction (Bale and Vale, 2004; Krishnan and Nestler, 2008; Bruchas et al.,

2010; Koob, 2008). Recent studies have proposed that the dysphoric components of stress are coded in brain by corticotropin releasing factor (CRF) and subsequent release of the endogenous dynorphin opioid peptides in brain (Land et al., 2008; Bruchas et al., 2010; Koob 2008). Systemic blockade of these neural pathways prevents the aversive and proaddictive effects of stress, but how these systems orchestrate affective responses at the molecular and cellular levels remain unresolved.

One group of signaling pathways involved in the cellular stress response includes the family of mitogen-activated protein kinases (MAPK). Using pharmacological approaches, p38 MAPK (also called SAPK, for stress-activated protein kinase) activity has been identified as a critical mediator of stroke-induced apoptosis, osmotic shock response, and in the regulation of transcriptional pathways responsible for cell death and differentiation (Raman et al., 2007; Coulthard et al., 2009). Recently however, inhibition of p38 MAPK was also found to block stress-induced behavioral responses including aversion (Land et al., 2009; Bruchas et al., 2007) and to prevent reflex-conditioned responses (Zhen et al., 2001). Although the cellular and molecular bases for these behavioral actions are not known, one possible site of action is the serotonergic nuclei because this transmitter has an established role in the regulation of mood (Roche et al., 2003; Paul et al., 2011; Richardson-Jones et al., 2010). The dorsal raphe nucleus (DRN) is the primary neuronal source of serotonin, and DRN neurons send diffuse projections to multiple forebrain and hindbrain structures that are critical for regulating affective state (Land et al., 2009; Hensler 2006; Zhao et al., 2007). The DRN is modulated by several afferent systems (Wylie et al., 2010; Land et al., 2009; Scott et al., 2005; Kirby et al., 2008), but how these inputs regulate serotonin neurotransmission remains unclear, and little is known about the essential signal transduction kinase cascades in the DRN that regulate serotonergic output to ultimately control behavior.

In the DRN, we found that p38 α MAPK expression was widely distributed in tryptophan hydroxylase 2 (TPH) expressing cells,

non-TPH cells, and astrocytes (Land et al., 2009). Several reports have demonstrated that there is a high degree of coexpression between the serotonin transporter (Slc6a4, SERT) and TPH positive neurons (MacGillivray et al., 2010; Lowry et al., 2008). Recent studies have also determined that expression of the transcription factor *Pet1* is largely restricted to serotonergic (TPH-immunoreactive, ir) neurons (Scott et al., 2005; Liu et al., 2010). Thus, *SERT* and *Pet1* represent potentially useful markers for the discrimination of serotonergic neurons within the brain. Here, we used a combination of conditional p38 α MAPK null alleles generated in serotonergic neurons or astrocytes to determine the effects of p38 α MAPK deletion in models of depression behaviors including place aversion and social avoidance and of drug addiction behaviors modeled by reinstatement of extinguished cocaine place preference.

RESULTS

p38 α MAPK in DRN Is Required for Behavioral Responses to Stress

Since prior reports suggested that p38 MAPK is activated during the stress response, we first determined if social defeat stress (SDS) induces phosphorylation of p38 MAPK in the DRN. Following a single, 20 min session of SDS, mice showed an increase in phospho-p38 immunoreactivity (pp38-ir) in the DRN (Figures 1A and 1A¹). G protein coupled receptor activation can lead to p38 MAPK phosphorylation via recruitment of arrestin-dependent pathways (Tan et al., 2009; Gong et al., 2008), and activation of the dynorphin/kappa opioid receptor (KOR) system was shown to increase pp38-ir by this mechanism (Bruchas et al., 2006, 2007). Consistent with this concept, the increase in pp38-ir caused by SDS was prevented by blocking endogenous dynorphin activation of KOR with the selective antagonist norbinaltorphimine (norBNI) (Figures 1A and 1A¹).

There are four isoforms of p38 MAPK: α , β , δ , and γ . p38 α and p38 β are both expressed in neurons and glial cells, whereas p38 δ and p38 γ are exclusively expressed in immune cell types (Zhang et al., 2007; Zarubin and Han, 2005). Since the p38 isoforms share consensus phosphorylation sites and there are no known isoform-selective phospho-antibodies, we used non-phospho-selective, but isoform-selective antibodies in immunoprecipitation approaches to determine the phosphorylation state of each isoform. Agonist stimulation of KOR resulted in significant ($p < 0.05$, t test) phosphorylation of the p38 α , but not p38 β isoform (see Figure S1A available online) in HEK293 cells expressing KOR-GFP and either FLAG-tagged p38 α or p38 β isoforms. No difference in immunoprecipitation efficiency or isoform expression was observed (Figure S1B) as evidenced by equal FLAG staining. Finally, using nucleus accumbens cell lysates, we found that in vivo treatment with KOR agonist increased pp38 α -ir (Figure S1C). Together these data suggest that KOR activation during stress exposure selectively increased the phosphorylation of the α isoform of p38 MAPK.

To determine if p38 α activation in DRN was required for stress-induced behavioral responses, we used a genetic approach to selectively inactivate p38 α MAPK in DRN cells. Using mice with a floxed gene (*Mapk14^{lox/lox}*) encoding p38 α MAPK (Nishida

et al., 2004), local inactivation of p38 α MAPK in the DRN was achieved by stereotaxic injection of adeno-associated virus serotype 1 vector encoding Cre recombinase (AAV1-CreGFP) (Ahmed et al., 2004). These mice were also bred to carry a *Gt(ROSA)26Sor-YFP* (R26-YFP) reporter cassette in which Cre-mediated recombination of a transcriptional STOP promotes YFP expression as a marker of Cre activity (Figure 1B). p38 α -ir was absent in AAV1-CreGFP transduced cells that coexpressed the YFP reporter (Figure 1C). In contrast, injection of AAV1-Cre Δ GFP vector expressing an inactive, mutated form of the Cre-recombinase (Cre Δ) did not affect p38 α MAPK expression in DRN (Figure 1C).

Prior reports established that stress causes relapse to drug seeking (Nestler and Hyman, 2010; Krishnan et al., 2007), and in particular, social defeat stress (SDS) represents an ethologically relevant stressor for evoking dysphoria-like behavioral states (Miczek et al., 2008). The *Mapk14^{lox/lox}* mice were injected in the DRN with AAV1-CreGFP to determine whether p38 α MAPK was required for SDS induced reinstatement. We followed this injection with a conditioning paradigm for cocaine place preference (Figure 1D). Both AAV1-CreGFP and AAV1-Cre Δ GFP injected mice developed normal place preference to cocaine (Figure 1E), suggesting that deletion of p38 α in DRN cells does not disrupt associative learning components required for initial acquisition of cocaine place preference. We then extinguished the conditioned preference by substituting saline for cocaine in the drug-paired chamber (Figure 1D). After mice met extinction criteria ($\leq 15\%$ of their initial preference score; Figure 1E), mice were exposed to social defeat stress (20 min session) and then place preference was reassessed. Importantly, AAV1-CreGFP-induced deletion of p38 α in the DRN completely blocked SDS-induced reinstatement of cocaine CPP, whereas floxed p38 α mice injected with the virus expressing the inactive form of Cre recombinase still showed robust SDS-induced reinstatement of cocaine CPP (Figure 1E). These data suggest that expression of p38 α in the DRN is required for stress-induced reinstatement of reward seeking behavior.

To expand on this concept and to parallel other studies showing that stress negatively modulates reward to initiate the drive for reward seeking (Koob, 2008), we injected *Mapk14^{lox/lox}* (floxed p38 α) mice with either AAV1-CreGFP or AAV1-Cre Δ GFP in either the DRN or nucleus accumbens (NAc), and then assessed conditioned avoidance of a context paired with an aversive stimulus. Since KOR activation results from stress and is known to produce aversive behavioral responses in stress-paired contexts (Land et al., 2008, 2009; Bruchas et al., 2010; Carlezon et al., 1998; Shippenberg et al., 1986) we conditioned mice with the KOR agonist U50,488 (2.5 mg/kg, i.p.) over 2 days and then assessed their avoidance of the drug-paired context. AAV1-CreGFP injection in the DRN of *Mapk14^{lox/lox}* mice, but not the NAc, blocked conditioned place aversion (Figure 1F). This result suggests that p38 α MAPK in the DRN is also required for stress-induced dysphoria-like avoidance behavior.

Selective Disruption of p38 α in 5HT Neurons

p38 α MAPK is ubiquitously expressed in cells of DRN including serotonergic and nonserotonergic neurons, as well as astrocytes

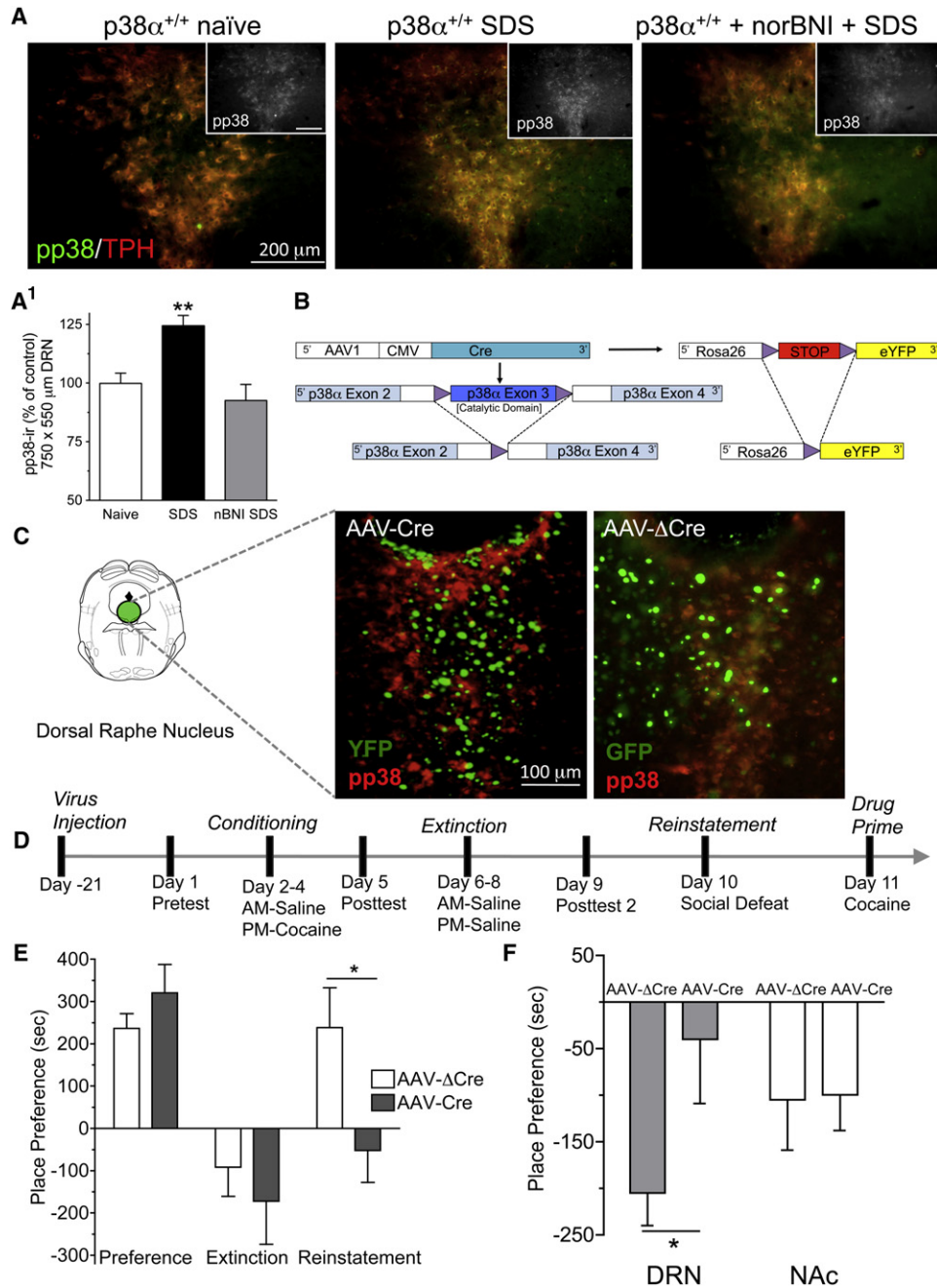


Figure 1. p38 α Expression in the Dorsal Raphe Nucleus Is Required for Stress Behavior

(A) Representative low-power immunofluorescence images of social defeat stress induced pp38-ir (green) in TPH-ir cells (red) of the DRN. (A¹) Quantification \pm SEM of pp38-ir in DRN from unstressed (naive), social defeat stress (SDS), and social defeat stress exposed norBNI (10 mg/kg, i.p.) injected mice (** $p < 0.01$, SDS versus naive). Inset, representative black and white low power immunofluorescence images of social defeat stress induced pp38-ir, scale bar = 200 μ m.

(B) Schematic of AAV1 induced cre-recombination of the floxed p38 α MAPK allele and STOP sequence controlling Rosa26^{YFP} gene expression.

(C) Representative images of pp38-ir (red) and YFP (green) fluorescence following AAV1-Cre-GFP or AAV1- Δ Cre-GFP injection into the DRN. Mice were pre-treated with KOR agonist (U50,488, 20 mg/kg, i.p., 20 min prior to perfusion). Images show that AAV-cre expressing cells lack pp38-ir, confirming effective localized DRN p38 α deletion in cells where Cre activity also promoted YFP expression by the Rosa reporter.

(D) Conditioning procedure for SDS induced reinstatement of cocaine seeking.

(E) Cocaine place preference scores, calculated as post-test minus pre-test on the cocaine-paired side, and SDS-induced reinstatement scores of extinguished place preference in DRN-injected animals ($n = 5-8$; * $p < 0.05$ t test compared to AAV1- Δ Cre). Bars represent means \pm SEM.

(F) Preference scores (mean \pm SEM) for conditioned place aversion to kappa opioid agonist U50,488 (2.5 mg/kg, i.p.) from mice injected with either AAV1cre-GFP or AAV1 Δ cre-GFP into their DRN or nucleus accumbens (NAc) (* $p < 0.05$, AAV1cre-GFP versus AAV1 Δ cre-GFP; $n = 8$).

See also Figure S1.

(Figure S2A). Since AAV1-CreGFP transduction provides anatomical specificity but is not cell type specific, we crossed the *Mapk14^{lox/lox}* mice with mice expressing Cre-recombinase under control of either the 5HT transporter gene *Slc6a4^{Cre}* (SERT-Cre) (Zhuang et al., 2005), the enhancer region of 5HT-cell-type specific transcription factor Pet-1 (ePet1-Cre) (Scott et al., 2005), or the estrogen receptor-inducible Cre variant under control of the astrocyte selective glial fibrillary acidic protein gene (GFAP-Cre-ERT2) (Hirrlinger et al., 2006) inducible Cre mouse line (Figure 2A). Due to the potential for transient and variable expression of promoter driven Cre in germ cells, males carrying the Cre recombinase alleles had an inactive *Mapk14* gene (*Mapk14^{Δ/+}*), and they were crossed with females carrying *Mapk^{lox/lox}* (see Figure S2B for breeding scheme and Table 1 for abbreviations of each genotype used in this study). In addition, to confirm that Cre-mediated recombination by *Slc6a4-Cre*, *ePet1-Cre*, or *Gfap-Cre-ERT2* were cell type specific, we also crossed these mice with the *R26-YFP* reporter mice (Srinivas et al., 2001). We then used double immunofluorescence staining to detect yellow fluorescent protein (YFP) and tryptophan hydroxylase 2 (TPH), the rate-limiting enzyme for serotonin synthesis in brain and a marker for serotonergic neurons (Nakamura and Hasegawa, 2007). We observed a high level of TPH-ir and YFP coexpression in the DRN, but not in the cortex or hippocampus of p38 α CKO^{ePet} (*Mapk14^{Δ/+}; ePet1-Cre*) mice (Figures 2B and S3A–S3H). Further, as would be predicted from the wide expression profile of SERT during neurodevelopment (Murphy and Lesch, 2008), we visualized a high level of TPH-ir and YFP coexpression in the DRN (Figure 2C), but YFP expression was also observed in cells of the cortex and hippocampus and thalamus of p38 α CKO^{SERT} (*Mapk14^{Δ/+}; Slc6a4-Cre*) mice (Figure S3A). Finally, p38 α CKO^{GFAP} (*Mapk14^{Δ/+}; GFAP-CreERT2*) mice showed no YFP colocalization with TPH-ir neurons in the DRN, but showed extensive YFP signal in cells of astrocytic morphology throughout the brain including the DRN, thus establishing consistent cell-type selective Cre-recombinase activity (Figure 2C).

The degree of p38 α MAPK expression was also examined in the DRN of conditional knockout (CKO) mice using antibodies directed at p38 α or phospho-p38 MAPK. p38 α CKO^{ePet} mice displayed significantly reduced p38 α MAPK expression in TPH-ir cells (ANOVA, Bonferroni post hoc, $p < 0.001$; Figures 2F and 2J) in contrast to p38 α expression in wild-type mice (Figure 2E). In p38 α CKO^{SERT} mice, p38 α -ir in the DRN was also significantly reduced in TPH-ir cells compared to the wild-type mice (ANOVA, Bonferroni post-hoc, $p < 0.001$; Figures 2G and 2J). Importantly, expression of TPH-ir was not altered in any of the knockout mouse lines (Figures 2H, 2I, 2K, and 2L), nor was p38 α MAPK expression significantly altered in non-TPH expressing cells of CKO mice (Figure 2M). Finally, we did not observe compensatory changes in p38 β MAPK expression in DRN cells in any of the mouse lines (Figure S3I). To determine if the active isoform of p38 MAPK was selectively disrupted in TPH expressing cells, we injected mice with the KOR agonist U50,488 and then stained for pp38-ir. In wild-type mice, agonist stimulation of KOR increased pp38-ir in DRN, however p38 α CKO^{ePet} mice showed no increase in pp38-ir in DRN following KOR stimulation (Figures 2K and 2L).

Serotonergic p38 α Is Required for Stress-Induced Avoidance Behavior

Previous reports have demonstrated that mice subjected to defeat by an aggressor mouse show subsequent decreases in motivation for social interaction that can be prevented by clinically effective antidepressants (Nestler and Hyman 2010; Cao et al., 2010; Berton et al., 2006; Avgustinovich and Kovalenko, 2005; Siegfried, 1985). Using this approach, we assessed the role of p38 α MAPK in stress-induced social avoidance. Previously unstressed mice readily explore and interact with a novel male mouse in the social interaction chamber (Figures 3A and 3B). However, socially defeated mice showed a significant social avoidance (ANOVA, $F_{(2,29)} = 2.51$, $p < 0.05$, Bonferroni; Figure 3A). Pretreatment with the KOR antagonist norBNI (24 hr prior to SDS, 10 mg/kg, i.p.) significantly blocked the SDS-induced avoidance behavior (ANOVA, $F_{(3,30)} = 2.843$, $p < 0.05$, Bonferroni). As expected, littermate control mice (*Mapk14^{Δ/+}; ePet1-Cre*) showed avoidance behavior following SDS, whereas p38 α CKO^{ePet} mice were resilient to the effects of social defeat and showed significant reduction in the SDS-induced interaction deficit (t test, $p < 0.05$; Figure 3B). Because social avoidance behavior may also be considered to be an anxiety-like response, we determined if behavior in the elevated plus maze was also affected by disruption of p38 α MAPK in serotonergic neurons (Figure S4B). Unexpectedly, there were no significant differences in the time spent in the open arms of the maze by the p38 α CKO^{ePet}, p38 α CKO^{SERT}, and littermate control groups (Figure S4B), suggesting that the blockade of SDS-induced social avoidance caused by serotonergic p38 α MAPK deletion was not a consequence of a generalized decrease in anxiety-like responses.

Avoidance behavior is a complex response known to be regulated by serotonergic systems as well as other hormones and neuropeptides (Bari et al., 2010; Eriksson et al., 2011; Cao et al., 2010; Bromberg-Martin et al., 2010; Pamplona et al., 2011). To determine if context-dependent avoidance requires serotonergic p38 α MAPK expression, we assayed conditioned place aversion (CPA) to U50,488, a KOR agonist that acts as a pharmacological stressor. KOR activation causes aversion behavior in rodents in Pavlovian conditioning paradigms (Shippenberg et al., 1986; Land et al., 2009). We conditioned mice with U50,488 (2.5 mg/kg, i.p.) over 2 days and then assessed their preference for the drug-paired context. As expected, wild-type and *Mapk14^{Δ/+}* mice showed significant CPA to the drug-paired context (Figures 3C and 3D). In contrast, mice lacking p38 α MAPK in either their ePet-1 or SERT-expressing cells (p38 α CKO^{ePet} or p38 α CKO^{SERT}, respectively) failed to show significant place aversion (for p38 α CKO^{ePet}, ANOVA, $F_{(2,19)} = 5.626$, $p < 0.05$ Bonferroni; for p38 α CKO^{SERT}, ANOVA, $F_{(2,32)} = 4.193$, $p < 0.05$ Bonferroni; Figures 3C and 3D). Since previous studies have shown SERT is also expressed in astrocytes (Hirst et al., 1998; Bal et al., 1997; Pickel and Chan, 1999) and to further confirm 5HT neuronal selectivity of the behavioral effects, we induced Cre activity by tamoxifen in p38 α CKO^{GFAP} (*Mapk14^{Δ/+}; Gfap-CreERT2*) then assayed their behavioral responses to KOR agonist. Although Cre activity was confirmed in astrocytes of tamoxifen-treated p38 α CKO^{GFAP} mice (Figure 2D), they still developed significant CPA (Figure 3E), suggesting that aversion does not require p38 α MAPK

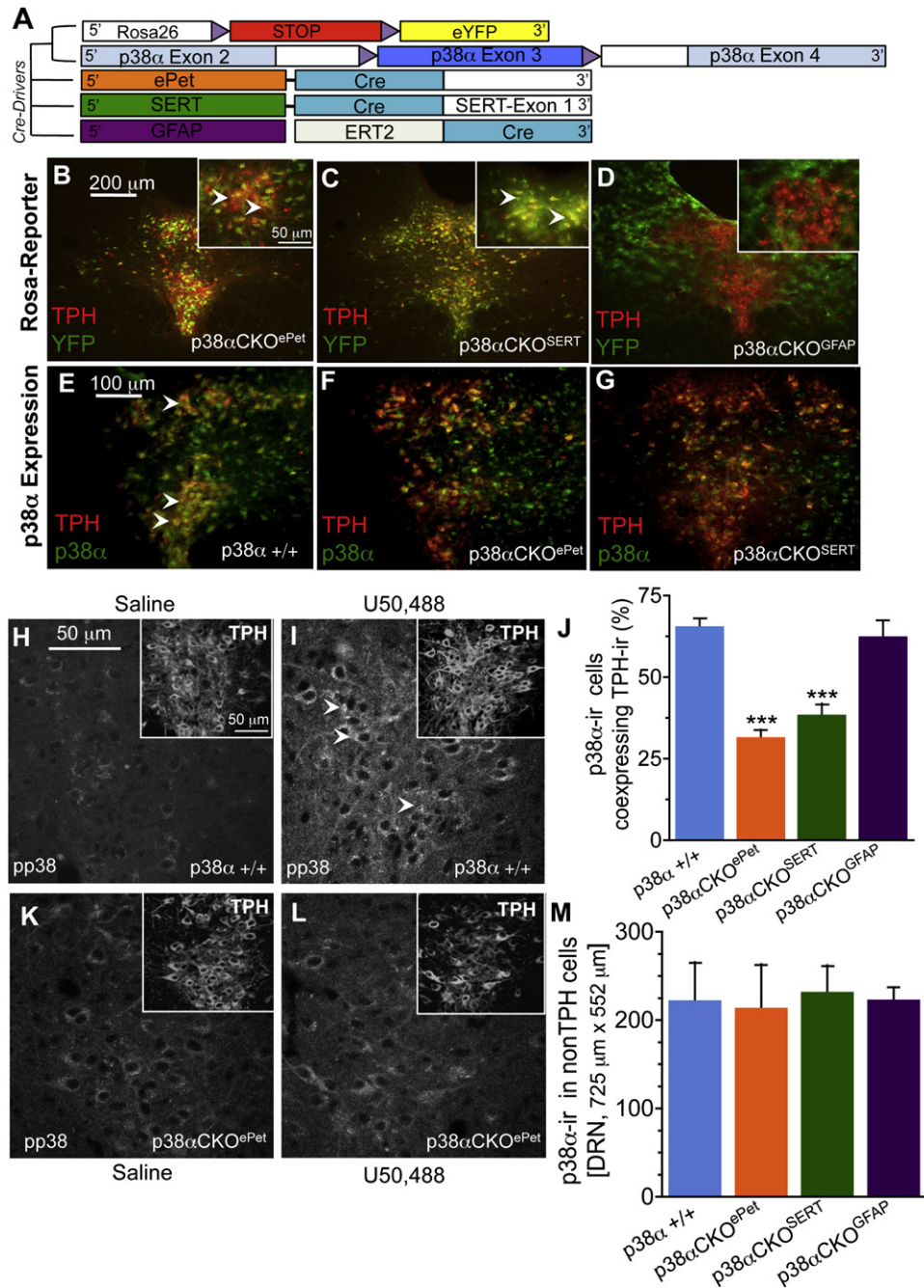


Figure 2. Cell Type Selective Deletion of p38 α MAPK

(A) Schematic of cell type specific p38 α deletion. Floxed p38 α and ROSA^{YFP} reporter mice were crossed to mice expressing Cre-recombinase under the control of Pet1, serotonin transporter, or the tamoxifen inducible glial fibrillary acidic protein (GFAP) Cre^{ERT2} transgene. Representative images showing TPH-ir and YFP in p38 α CKO^{ePet} mice (B), p38 α CKO^{SERT} (C), and p38 α CKO^{GFAP} (D) mice. Insets show higher-power images with arrows directed toward yellow cells indicating overlap of TPH/YFP expression. Representative images showing TPH and p38 α -ir in wild-type (E), p38 α CKO^{ePet} (F), and p38 α CKO^{SERT} (G). Representative images from wild-type mice showing the absence of phosphorylated p38 MAPK (pp38-ir) following saline treatment (H) and increased pp38-ir following treatment with U50,488 20 mg/kg, i.p., 20 min prior (I). Insets show intact TPH labeling in the same fields.

(J) Quantitation of p38 α -ir in TPH positive cells in the dorsal raphe nucleus. Data show a significant reduction in p38 α expression in both p38 α CKO^{ePET} and p38 α CKO^{SERT} mice (***p < 0.001, ANOVA, Bonferroni).

(K) Representative images from in p38 α CKO^{ePET} mice showing the absence of pp38-ir following saline treatment (K) and following treatment with U50,488 (L). Insets show intact TPH staining.

(M) Quantitation of p38 α -ir expressed in TPH-negative cells in the DRN. Data are representative of 4–8 animals per group. See also Figure S3.

Table 1. Mouse Cell Lines Generated

Official Strain Name	Reference	Shorthand Name	Genotype
B6.129- <i>Mapk14</i> ^{tm1.2Otsu}	Nishida et al., 2004	floxed p38 α	<i>Mapk14</i> ^{lox/lox}
		p38 α ^{+/+}	<i>Mapk14</i> ^{+/+}
B6.129-Tg(<i>Slc6a4-cre</i>)1Xz	Zhuang et al., 2005	p38 α CKO ^{SERT}	<i>Mapk14</i> ^{Δ/lox} ; <i>Slc6a4</i> ^{Cre/+}
		p38 α ^{Δ/lox}	<i>Mapk14</i> ^{Δ/lox}
		p38 α ^{lox/+}	<i>Mapk14</i> ^{lox/+}
		SERT-Cre only	<i>Mapk14</i> ^{+/+} ; <i>Slc6a4</i> ^{Cre/+}
B6.129-Tg(<i>ePet-cre</i>)1Esd	Scott et al., 2005	p38 α CKO ^{ePet}	<i>Mapk14</i> ^{Δ/lox} ; <i>ePet</i> ^{Cre}
		p38 α ^{Δ/lox}	<i>Mapk14</i> ^{Δ/lox}
		p38 α ^{lox/+}	<i>Mapk14</i> ^{lox/+}
		ePet-Cre only	<i>Mapk14</i> ^{+/+} ; <i>ePet</i> ^{Cre}
B6.129-Tg(<i>Gfap-creERT2</i>)1Fki	Hirrlinger et al., 2006	p38 α CKO ^{GFAP}	<i>Mapk14</i> ^{lox/lox} ; <i>Gfap</i> ^{Cre-ERT2}
		floxed p38 α	<i>Mapk14</i> ^{lox/lox}
B6.129X1- <i>Gt(ROSA)26Sor</i> ^{tm1(EYFP)Cos/J}	Srinivas et al., 2001	ROSA-YFP	<i>Rosa26</i> ^{EYFP/+} heterozygote as reporter for Cre in genotypes above
B6.129S4- <i>Meox2</i> ^{tm1(cre)Sor/J}	Tallquist and Soriano, 2000	Mox2-Cre	As heterozygote to produce null p38 α ^{Δ} allele
B6.129(Cg)- <i>Slc6a4</i> ^{tm1Kpl/J}	Bengel et al., 1998	Conventional SERT KO	<i>Slc6a4</i> ^{-/-}

expression in astrocytes. Furthermore, since place conditioning requires locomotor activity for normal exploratory behavior and aversive compounds such as KOR agonists can reduce locomotion, we also measured locomotor activity in p38 α CKOs and controls. We did not observe any effect of genotype on basal or U50,488-induced locomotor scores before or during conditioning (Figure S4C), suggesting that the lack of context dependent place aversion to a pharmacological stressor is not attributable to a deficit in locomotor activity or lack of pharmacological activation of KOR.

Serotonergic systems have been widely studied in models of depression and many groups use forced swim stress (FSS) as an animal model of stress-induced affect and for measuring behavioral efficacy of anti-depressant-like compounds (Porsolt et al., 1977). To determine if p38 α MAPK deletion in SERT-expressing cells prevents swim stress-immobility, we exposed mice to FSS and then measured their immobility during the first trial and again 24 hr later. p38 α CKO^{SERT} mice showed significantly less immobility compared to control groups (Figure 3F; ANOVA, $F_{(2,15)} = 8.924$, $p < 0.01$ Bonferroni). Furthermore, since previous reports have suggested that stress causes dynorphin-dependent analgesia (McLaughlin et al., 2003), we determined if deletion of p38 α MAPK altered stress-induced analgesic responses. Following swim stress, all control groups and p38 α CKO^{SERT} mice showed equivalent and significant stress-induced analgesia (Figure S4), suggesting that p38 α MAPK deletion does not alter stress-induced dynorphin release or KOR activation. Taken together, these data indicate that p38 α MAPK in serotonergic neurons play a critical role in the modulation of affective behavioral responses including avoidance and stress-induced immobility.

p38 α MAPK Deletion Blocks Social Defeat Stress-Induced Reinstatement

Because negative affect and drug seeking responses share common neural and molecular pathways, we next determined

if p38 α MAPK deletion in serotonergic neurons prevents stress-induced reinstatement of drug seeking. First, we used immunohistochemistry to determine if SDS-induced increases in pp38-ir were prevented in the CKO mice. Consistent with previous results in this study, SDS did not cause an increase in pp38-ir in TPH-ir cells in p38 α CKO^{SERT} or p38 α CKO^{ePet} mice (Figures 4A and S3J). In contrast, SDS increased pp38-ir in TPH-ir cells of p38 α CKO^{GFAP} mice, further supporting selective isolation of stress-induced p38 α to serotonergic neurons (Figure S3J). Next we used a similar conditioning procedure as in Figure 1 to determine if serotonergic p38 α MAPK deletion altered cocaine place preference. All groups showed similar levels of place preference for cocaine (Figure 4B), suggesting that deletion of serotonergic p38 α does not alter either the associative learning required for place preference or the rewarding properties of cocaine. We then extinguished place preference over 3 days, and mice that met extinction criteria were socially defeated, then tested in the place preference apparatus. We found that SDS caused reinstatement of cocaine place preference in both wild-type and control *Mapk14*^{+/+} mice, but stress-induced reinstatement was not evident in p38 α CKO^{SERT} or p38 α CKO^{ePet} mice (t test, $p < 0.05$ versus matched control; Figure 4C). Finally, since cocaine injection (i.e., priming) is known to initiate reinstatement to drug seeking by distinct mechanisms (Thomas et al., 2008; Shaham and Hope, 2005), on the following day mice that did not reinstate to stress were injected with 15 mg/kg of cocaine and retested for place preference. All four groups of mice reinstated following a cocaine priming injection (Figure 4D), suggesting that serotonergic p38 α MAPK deletion selectively alters only stress-induced modulation of drug-seeking. In conclusion, these results implicate serotonergic p38 α MAPK in the regulation of affective state and show that selective deletion of p38 α MAPK in serotonergic cells protects mice from stress-induced relapse of cocaine-seeking behaviors.

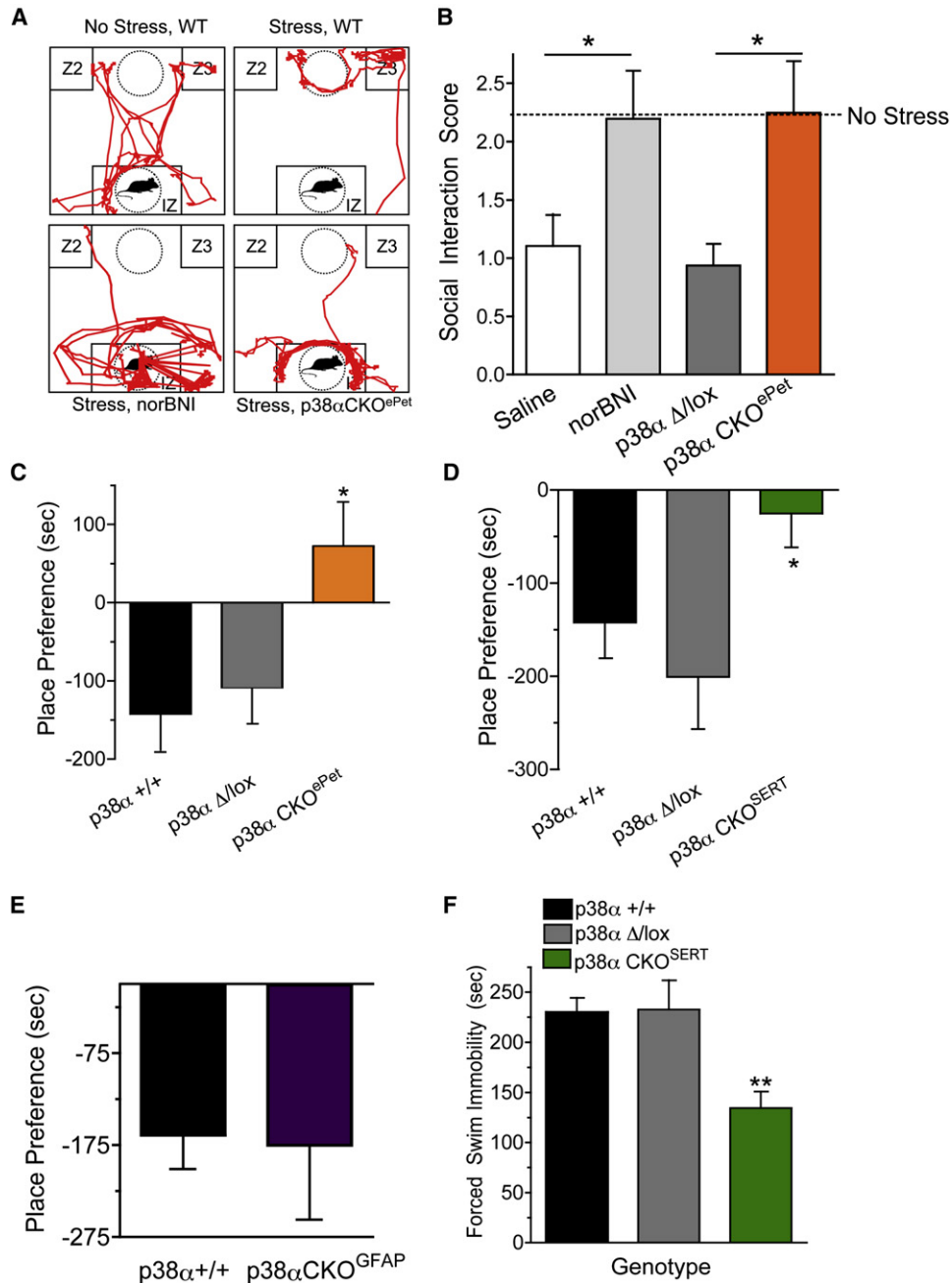


Figure 3. Negative Affective Behavior Requires Expression of p38 α in Serotonergic Neurons

(A) Representative traces of mouse locomotion (red lines) in un-stressed and social defeat stressed wild-type or mice lacking p38 α in serotonergic neurons (p38 α CKO^{ePet}). Data show that SDS caused mice to retreat to zone 2 or 3 (Z2, 3, far corners). Mice pretreated with norBNI (10 mg/kg, i.p., 24 hr prior) or with serotonergic p38 α deletion (p38 α CKO^{ePet}) show normal exploration of the interaction zone (IZ).

(B) Quantification of social interaction scores in mice following SDS. Dashed line represents the social interaction scores for unstressed mice (n = 8, *p < 0.05 versus control saline or p38 α Δ /lox, t test).

(C) Place Preference scores following conditioning with U50,488 (2.5 mg/kg) in wild-type, p38 α Δ /lox and p38 α CKO^{ePet} mice (n = 8–10, ANOVA, p < 0.05 versus control).

(D) Place Preference scores (means \pm SEM following conditioning with U50,488 (2.5 mg/kg) in p38 α wild-type versus p38 α Δ /lox and p38 α CKO^{SERT} mice (n = 8–10, ANOVA, p < 0.05 versus control).

(E) Place Preference scores \pm SEM following conditioning with U50,488 (2.5 mg/kg) in wild-type or p38 α CKO^{GFAP} mice (n = 6–8).

(F) Swim-stress induced immobility scores for wild-type mice, p38 α Δ /lox, or p38 α CKO^{SERT} (data are means \pm SEM; ANOVA, *p < 0.01, n = 6–8).

See also Figure S3.

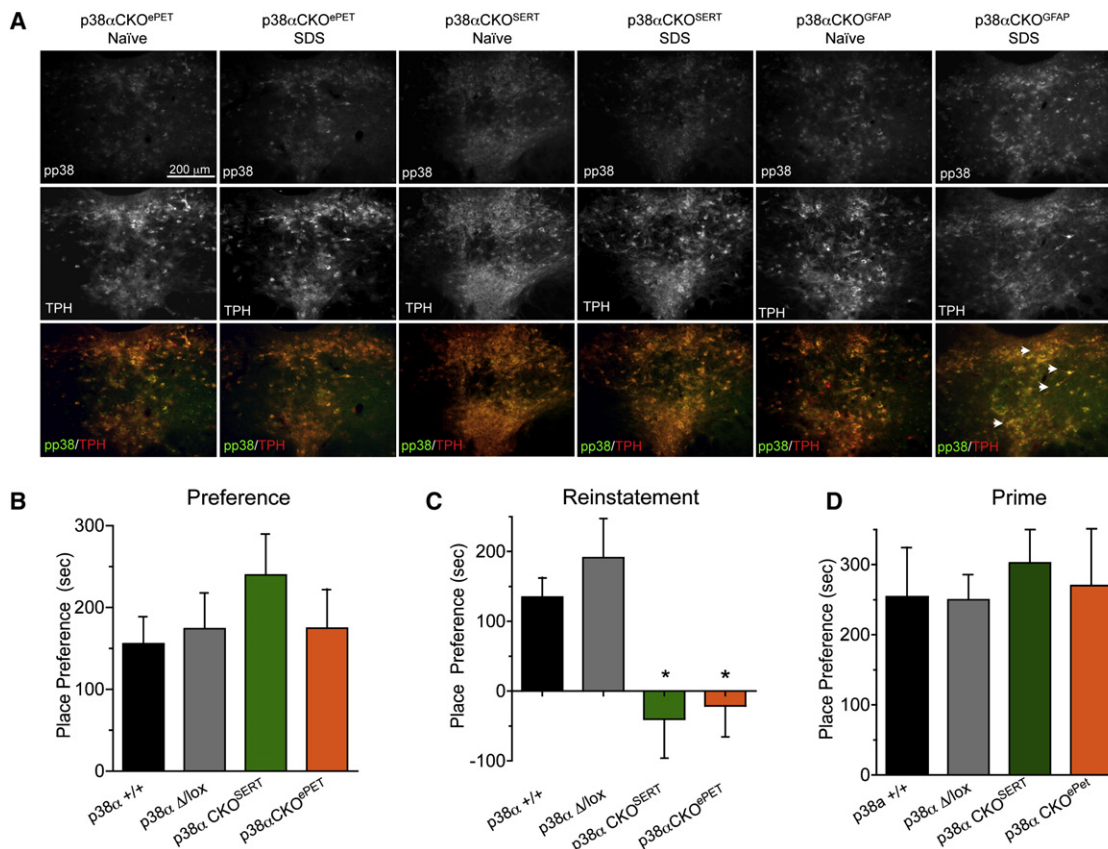


Figure 4. Disruption of p38 α in Serotonergic Neurons Protects against SDS-Induced Reinstatement of Drug Seeking

(A) Representative images of SDS induced phospho-p38-ir in each mouse line. Data show an absence of SDS-induced pp38-ir in TPH-ir cells in both p38 α CKO^{SERT} and p38 α CKO^{PET} mice but show an intact increase in pp38-ir in TPH-ir cells of p38 α CKO^{GFAP} mice. (Pixel intensities of pp38-ir were quantified from these and replicate images and shown in Figure S3J.) SDS did not significantly increase pp38-ir in DRN of p38 α CKO^{PET} or p38 α CKO^{SERT}, whereas pp38-ir was significantly increased in DRN of p38 α CKO^{GFAP} mice.

(B) Mouse place preference scores (\pm SEM) following cocaine (15 mg/kg, s.c.) conditioning.

(C) Mouse place preference scores (\pm SEM) after extinction and following social defeat ($p < 0.05$, ANOVA, Bonferroni post hoc).

(D) Mouse place preference scores following extinction then cocaine priming (15 mg/kg, s.c.) ($n = 8-20$). See also Figure S4 for additional behavioral characterization.

p38 α MAPK and KOR Modulate SERT Activity

To define the mechanism for the effects of p38 α MAPK, we looked to studies in heterologous gene expression systems that previously suggested the plasma membrane serotonin transporter could be a p38 MAPK substrate (Zhu et al., 2005; Samuvel et al., 2005). Building on in vitro data showing that p38 MAPK increases SERT activity, we first asked whether the serotonergic p38 α -dependent CPA response was sensitive to the selective SERT reuptake inhibitor citalopram (Ravna et al., 2003). Mice were conditioned as previously described with a KOR agonist and then assayed for preference to the stressor-paired context. Control mice showed normal place aversion to the U50,488-paired compartment, whereas citalopram-pretreated mice (15 mg/kg, i.p. 30 min prior to KOR agonist) showed significantly less U50,488 place aversion (Figure 5A; ANOVA, $F_{(2,15)} = 4.082$, Bonferroni, $p < 0.05$ versus saline). These behavioral data strongly implicate the regulation of extracellular serotonin as a plausible mechanism for p38 α -dependent effects.

To determine if p38 α MAPK activation actually modulates SERT function in vivo, we used rotating disk electrovoltammetry (RDEV), a validated measure of monoamine transport kinetics (McElvain and Schenk, 1992; Burnette et al., 1996; Earles and Schenk, 1998; Hagan et al., 2010), to measure 5HT uptake rates in synaptosomes isolated from stressed or unstressed mice. To isolate G protein-coupled receptor-mediated p38 α MAPK activation and to mimic the conditioned aversion paradigm described above, mice received either saline or U50,488 (2.5 mg/kg, i.p.) 24 hr prior to and again 30 min prior to preparation of whole-brain synaptosomes. Synaptosomes isolated from mice injected with KOR agonist (Figure 5C) showed a marked increase rate of SERT specific 5HT clearance compared with synaptosomes from control, saline-injected mice (Figures 5B and 5D). This increase in uptake rate was blocked by in vivo pretreatment with norBNI (2 \times 2 ANOVA, significant effect of pretreatment, $p < 0.05$; Figure 5D). We then determined whether deletion of p38 α in serotonergic cells blocked the KOR induced

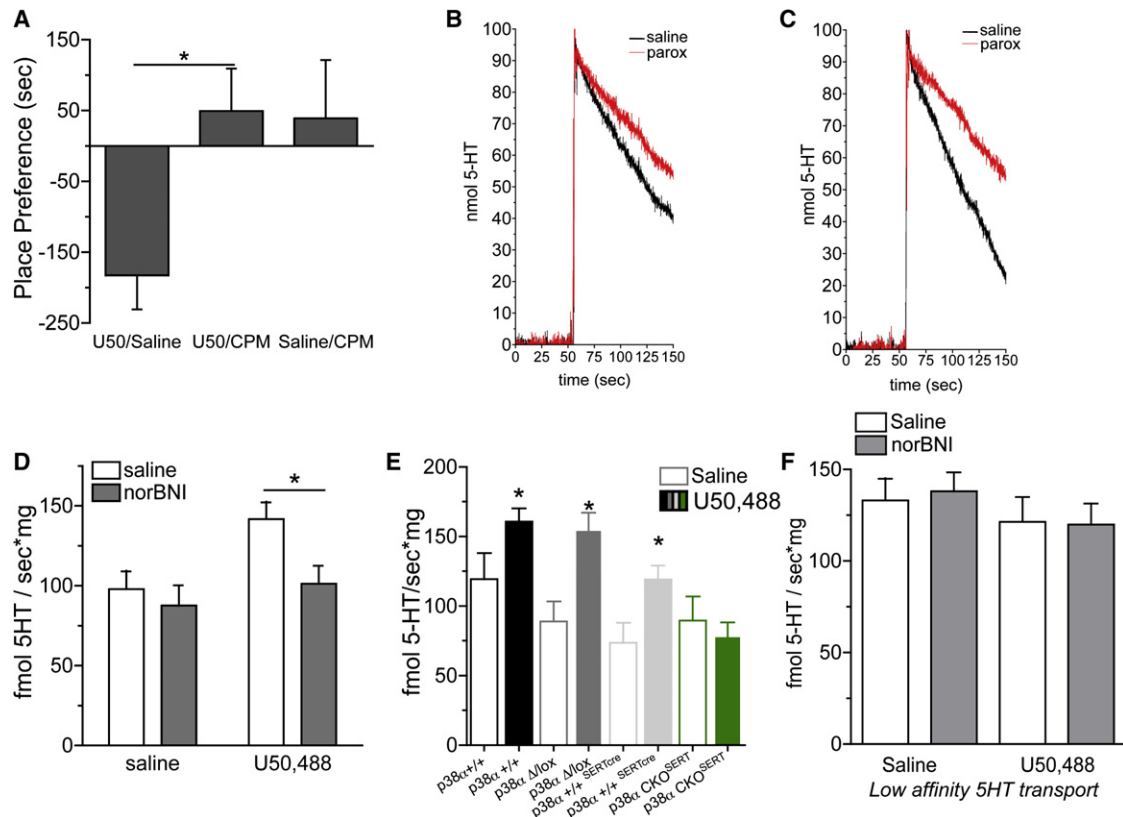


Figure 5. Investigation of 5HT Uptake by SERT

(A) Place preference scores (\pm SEM) following conditioning of wild-type mice treated either with U50,488 (2.5 mg/kg) (U50/Saline), with the selective SERT re-uptake inhibitor citalopram (CPM) (15 mg/kg, i.p., 30 min prior to U50,488) (U50/CPM), or with citalopram alone (Saline/CPM). Citalopram prior to KOR agonist significantly blocked U50,488 CPA (ANOVA, $p < 0.05$, $n = 8-10$).
 (B and C) Representative RDEV traces of 5-HT uptake from paroxetine (red traces) and nonparoxetine (black traces) treated synaptosomes isolated from control (B) or U50,488 (2.5 mg/kg, i.p. $\times 2$)-treated animals (C). Note the larger difference in slope for U50,488 treated than control animals.
 (D) Administration of U50,488 (2.5 mg/kg, i.p. $\times 2$, 24 hr apart) to mice, 30 min prior to synaptosomal isolation, increased 5-HT uptake by SERT compared to saline treated controls ($n = 10-16$, $*p < 0.01$). This effect of U50,488 was blocked by pretreatment of the mice with norBNI (10 mg/kg).
 (E) Administration of U50,488 (2.5 mg/kg, i.p. $\times 2$), increased serotonin uptake by SERT in synaptosomes generated from $p38\alpha^{+/+}$, $p38\alpha^{\Delta/lox}$, and $p38\alpha^{+/+}, SERT^{Cre}$ mice, but not from $p38\alpha^{CKO^{SERT}}$ mice ($n = 10-16$, $*p < 0.05$).
 (F) Administration of U50,488 (2.5 mg/kg, i.p. $\times 2$) 30 min prior to preparation of synaptosomes did not significantly increase serotonin uptake by the low-affinity transporters ($n = 10-16$).

increase in SERT uptake. Both wild-type ($p38\alpha^{+/+}$) (t test versus saline control, $p < 0.05$) and control $Mapk14^{\Delta/lox}$ mice (t test versus saline control, $p < 0.001$) showed a significant U50,488-mediated increases in SERT uptake as compared to saline treated animals of the same genotype (Figure 5E). In contrast, KOR stimulation did not significantly increase 5HT uptake in $p38\alpha^{CKO^{SERT}}$ ($Mapk14^{\Delta/lox}; Slc6a4-Cre$) mice (t test versus control, $p < 0.01$) (Figure 5E), suggesting that $p38\alpha$ MAPK deletion prevented modulation of SERT activity. Because 5HT can also be taken up by a low-affinity, high-capacity transporter (Daws, 2009), we also examined the rate of 5HT uptake in the combined presence of selective NET, SERT, DAT inhibitors. The low-affinity transport was not significantly changed by treatment with KOR agonist in vivo (Figure 5F). Taken together these results strongly suggest that SERT activity in nerve terminals of serotonergic neurons is positively modulated in a $p38\alpha$ MAPK-dependent manner.

$p38\alpha$ MAPK Regulates SERT Cell-Surface Trafficking

To determine if the increase in uptake rate was caused by increased SERT expression, we isolated synaptosomes and immunoblotted for SERT in each mouse genotype. Consistent with previous reports (Samuvel et al., 2005; Zhu et al., 2005), we found that SERT-ir migrates at both 75 and 98 KDa (Figure 6A). We confirmed the selectivity of the two different SERT antibodies by showing an absence of staining in synaptosomes isolated from SERT knockout mice (Figure 6A) and absence of SERT-ir in untransfected HEK293 cells, but presence in cells transfected with cDNA encoding SERT (Figure S5). Total SERT expression in $p38\alpha^{CKO^{SERT}}$ or $p38\alpha^{CKO^{eIF2\tau}}$ mice was not significantly different from wild-type mice (Figures 6A and S5).

Using a membrane impermeant biotinylation procedure to label cell-surface proteins (Samuvel et al., 2005), we next assessed changes in SERT-ir expression on the synaptosomal surface. SDS (20 min exposure) of wild-type mice significantly

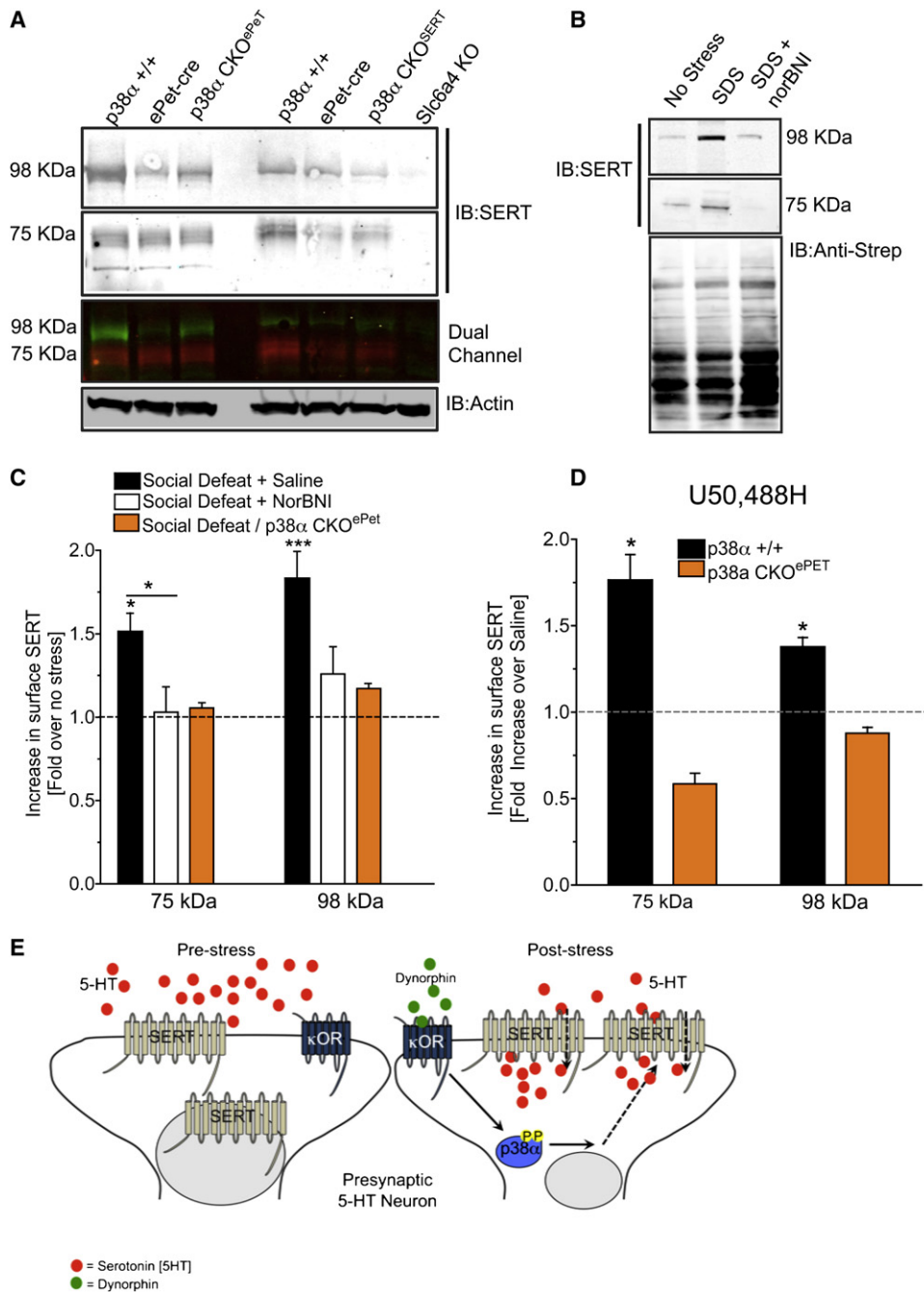


Figure 6. p38 α MAPK Is Required for Social Defeat Stress-Induced Cell Surface SERT Trafficking

(A) Representative immunoblot of total SERT levels in the different mouse lines used in this study. Data show both species of SERT (75 and 98 kDa) are present in these strains and the absence of SERT-ir in the *Slc6a4* knockout (SERT-KO) mouse. Actin-ir was used as control for protein loading.

(B) Representative immunoblot of surface SERT expression in biotinylated synaptosomes isolated from unstressed mice (no stress), from mice after SDS, and from mice pretreated with norBNI (10 mg/kg) 24 hr prior to SDS. (Anti-streptavidin-ir confirms equal protein loading after biotinylation and pull-down.

(C) Quantification of SERT-ir surface expression following SDS of saline-treated wild-type, norBNI-treated wild-type, and p38 α CKO^{ePet} mice (*p < 0.05, **p < 0.01, ***p < 0.001, ANOVA, Bonferroni post-hoc).

(D) Quantification of SERT-ir surface expression following U50,488 treatment of wild-type and p38 α CKO^{ePet} mice. (*p < 0.05, t test). n = 8–10 in replicate, and each was taken from a separate animal.

(E) Cartoon model depicting p38 α MAPK-dependent SERT translocation and decreased extracellular 5HT.

See also Figure S5.

increased (ANOVA, $F_{(2,24)} = 4.7122$, $p < 0.05$) synaptosomal surface SERT expression (Figure 6), and this increase was blocked by pretreatment with norBNI (10 mg/kg, i.p.) 1 hr prior to SDS (Figures 6B and 6C). Furthermore, socially defeated (20 min exposure) or KOR agonist treated (2.5 mg/kg, 2 \times 24 hr, i.p.) p38 α CKO^{ePet} mice did not show stress-induced increases in surface SERT expression, defining a critical role for p38 α MAPK in SERT surface trafficking following stress and KOR activation (Figures 6C and 6D). The proposed mechanism of p38 α MAPK-SERT interaction is illustrated in Figure 6E.

DISCUSSION

In this study, we present evidence that p38 α MAPK is an essential mediator of stress-induced adverse behavioral responses through regulation of serotonergic neuronal functioning. Our data demonstrate that p38 α expression in 5HT neural circuits is required for local regulatory control of serotonin transport that ultimately controls behavioral responses including social avoidance, relapse of drug seeking, and the dysphoria-like responses underlying aversion. These results are important because they implicate a critical requirement for p38 α MAPK signaling in 5HT neuronal function during stress, and demonstrate that p38 α MAPK, in spite of its ubiquitous expression profile, has the ability to specifically regulate selected downstream targets to shape behavioral output. The evidence presented here strongly links molecular events, physiological responses and behavioral output through p38 α MAPK signaling actions in serotonergic neurons.

The dorsal raphe nucleus (DRN) contains a major cluster of serotonergic neurons that project broadly throughout the brain (Wylie et al., 2010). Its circuits have impact on mood regulation and nociception (Scott et al., 2005; Zhao et al., 2007). However, the DRN is not homogeneous and contains a diversity of cell types whose local circuit interactions and projections are not completely defined (Wylie et al., 2010). Expression of the transcription factor *Pet1* during development is highly correlated with the production of TPH, the rate-limiting enzyme in 5HT synthesis (Liu et al., 2010; Scott et al., 2005). GABA and glutamatergic inputs are known to regulate tonic DRN neuronal activity (Lemos et al., 2011; Tao and Auerbach, 2000), although how these different systems are integrated remains an active area of study. All serotonergic cell bodies express SERT perisynaptically at their terminal regions to clear extracellular 5HT following transmitter release (Murphy and Lesch, 2008). Using the selective expression of Cre driven by SERT and *Pet1* promoters, we found that the genetic inactivation of p38 α MAPK in *Pet1*- and SERT-expressing cells caused a loss of p38 α and pp38 staining selectively in TPH ir-positive cells of DRN. We were not surprised to find that expression of Cre driven by the SERT promoter was widespread (Figure S3) because transient SERT expression during brain development had previously been noted (Gaspar et al., 2003; Narboux-Nême et al., 2008). Nevertheless, the *SERT-Cre* mice provide important corroborative results consistent with the effects of two other tools we used to excise p38 α in serotonergic neurons. The selectivity of Cre expression and subsequent p38 α excision by AAV1-CreGFP, *SERT-Cre* or *ePet1-Cre* are demonstrably different. AAV1-CreGFP acts on all DRN cells at the site

of injection; *SERT-Cre* expression was not restricted to DRN; and *ePet1-Cre* is expressed in TPH-ir neurons of the median raphe as well as DRN. Nevertheless, the consistent behavioral results suggest the p38 α deletion in the common TPH-ir cells of DRN mediates these effects. In addition, although p38-dependent stress responses also include activation, hypertrophy, and proliferation of astrocytes (Xu et al., 2007), we found no evidence that activation of p38 α in GFAP-ir astrocytes was involved in the behavioral responses assessed. The lack of effect of p38 α deletion in astrocytes was surprising since other investigators have noted that many aspects of the brain's response to stress resemble inflammation (Wager-Smith and Markou, 2011).

The conditional deletion of p38 α and lack of compensation by p38 β caused profound behavioral effects in models of stress-induced depression and addiction and establishes a distinct role of the p38 α isoform over p38 β isoforms in dorsal raphe function. The selective role for the p38 α MAPK isoform was unexpected but is consistent with prior reports suggesting that the α and β isoforms may be expressed in different subcellular compartments (Lee et al., 2000). In addition, differences in functional roles are consistent with isoform differences in other signaling kinases including the various PKC isoforms (Haubensak et al., 2010; Sajikumar and Korte, 2011).

The 5HT transmitter system in mammalian brain is known to be an essential modulator of homeostatic responses that control emotional behaviors and the interaction of animals with their environments (Holmes, 2008; Ansoorge et al., 2004; Gingrich and Hen, 2001). It is widely accepted that 5HT function is necessary for the normal functioning of neural circuits required for adult emotional behaviors (Gaspar et al., 2003). However, few studies have identified the critical kinases involved in serotonergic function, and few have established how disruption of signal transduction in serotonergic neurons impacts emotional behaviors. Pharmacological blockade of p38 MAPK has been suggested to prevent conditioned place aversion and learned helplessness in animal models of depression (Bruchas et al., 2007). Furthermore, expression of mutant kappa opioid receptors that are ineffective at activating p38 MAPK prevents place aversion in behavioral assays (Land et al., 2009). However, a definitive role for p38 MAPK in behavioral regulation following stress had not previously been directly demonstrated.

Rodent models of social interaction have gained acceptance by neurobiologists as useful models of depression-like behavior since they respond to antidepressant compounds, and the DSM-IV criteria includes decreased motivation for social interaction as major component of human depression (Berton et al., 2006; Beidel et al., 2010). p38 α MAPK may represent the first kinase mediator in a series of neurochemical events that underlie the chronic behavioral changes. The block of social avoidance by KOR antagonist further establishes the dynorphin system as a critical part of the stress response and strengthens the concept that this system may be a novel therapeutic target to promote stress resilience (Land et al., 2008, 2009; Bruchas et al., 2010).

The regulation of extracellular serotonin levels and subsequent postsynaptic effects have long been thought to be a primary component of depression and anhedonic behavioral responses in humans (Haenisch and Bönisch, 2011); however, few reports have demonstrated that interruption of the signal transduction

that controls SERT protects against the depressive-like effects of stress. Although regulation of SERT by p38 had been implicated based on *in vitro* studies (Zhu et al., 2005; Samuvel et al., 2005), the demonstration that stress-induced p38 α MAPK causes translocation of SERT to the plasma membrane in brain provides a clear molecular explanation for stress-induced dysphoria. The data presented here show that in serotonin neurons, p38 α MAPK acts to directly influence SERT trafficking and ultimately to increase the rate of serotonin reuptake. In conclusion, understanding the molecular and cellular mechanisms that control stress-induced behaviors delineates the neurobiological mechanisms involved in depression and addiction-like behaviors, while also providing insight to potential therapeutic targets. Although prior studies have demonstrated a role for p38 α MAPK in cellular development and apoptotic mechanisms, its role in the regulation of mood disorders and addiction risk was not previously appreciated. Furthermore, although antidepressant efficacies of drugs that inhibit the plasma membrane serotonin transporter are clear, the profound effects of stress on the serotonin system function defined by this study provide key molecular insight into the underlying mechanisms of stress-vulnerability and resilience.

EXPERIMENTAL PROCEDURES

For detailed Experimental Procedures, see [Supplemental Information](#).

Animals

Experimental procedures were carried out in accordance with the USPHS Guide for Care and Use of Laboratory Animals and were approved by the Institutional Animal Care and Use Committee at the University of Washington. Male C57BL/6 mice (20–30 g) were group-housed, four to a cage, in ventilated mouse cages (Thoren Caging Systems, Hazelton, PA) within the Animal Core Facility at the University of Washington, given access to food pellets and water *ad libitum*, and maintained in specific pathogen-free housing.

Generation of Serotonin-Specific Conditional Knockout Mice

Breeding and genotyping procedures were as described in the [Supplemental Information](#).

Behavior

Conditioned Place Aversion

Mice were trained in an unbiased, balanced three-compartment conditioning apparatus as described (Land et al., 2009; Bruchas et al., 2007).

Stress-Induced Social Avoidance and Stress-Induced Cocaine Reinstatement

Stress-induced social avoidance and stress-induced cocaine reinstatement was performed as described in the [Supplemental Information](#).

Viral Preparation and Local Intracranial Injections

Viral preparation and local intracranial injections were performed as previously reported (Zweifel et al., 2008; Land et al., 2009) and described more fully in the [Supplemental Information](#).

Immunohistochemistry

Immunohistochemistry was performed as previously described (Land et al., 2009; Bruchas et al., 2007) and described more fully in the [Supplemental Information](#).

Synatosomes

Synatosomes were prepared from whole brain according to published protocols (Hagan et al., 2010; Ramamoorthy et al., 2007) and described more fully in the [Supplemental Information](#).

Rotating Disk Electrode Voltammetry (RDEV)

RDEV was used to measure initial velocities of serotonin (5-HT) transport into mouse synaptosomal preparations as previously described (Hagan et al., 2010) and described more fully in the [Supplemental Information](#).

Data Analysis/Statistics

Data are expressed as means \pm SEM. Data were normally distributed, and differences between groups were determined using independent t tests or one-way ANOVA, or two-way ANOVAs followed by post hoc Bonferroni comparisons if the main effect was significant at $p < 0.05$. Statistical analyses were conducted using GraphPad Prism (version 4.0; GraphPad) or SPSS (version 11.0; SPSS).

SUPPLEMENTAL INFORMATION

Supplemental Information includes five figures and Supplemental Experimental Procedures and can be found with this article online at [doi:10.1016/j.neuron.2011.06.011](https://doi.org/10.1016/j.neuron.2011.06.011).

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